Group Producer Agreement and Contract Application for Individual with an Organization

This contract application is being completed for an individual who is licensed only under a currently contracted organization.

1. Applicant's name *(Please print)*	2. Social Security number 3. Date of birth / /
4. Residence address	5. Business address
City State Zip	City State Zip
Home phone	Business phone Email

6. Corporation or Partnership with whom associated   Tax identification number

7. Send mail/supplies to address
   ☐No. 4   or   ☐No. 5

8. Current license(s) held for state(s) of:
   _____

   NOTE: Photocopy of license(s) must be attached for each of the above.

9. Are you an employee to the above named organization?
   ☐Yes   ☐No   If "Yes," complete questions 12–14. If "No," complete questions 10–14.

10. List all employers and mailing addresses for the past 5 years, starting with your most current employer and working back. Attach an additional sheet if necessary.

11. Education background. Include industry courses which have been completed.

12. Have you ever been convicted of or pleaded guilty or nolo contendere (no contest) in a domestic or foreign court to:

   A. A felony or misdemeanor involving investments or an investment related business, fraud, false statements or omissions, wrongful taking of property, bribery, forgery, counterfeiting, larceny or extortion?   ☐Yes   ☐No
   B. Gambling   ☐Yes   ☐No
   C. Embezzlement   ☐Yes   ☐No
   D. Any other felony   ☐Yes   ☐No

   If "Yes," state the name of the court that had jurisdiction and the date of the conviction.

   Describe briefly the nature of the crime charged.

   Terms of sentence imposed.

13. Have you or an organization over which you exercised management or policy control, ever been charged with any felony or charged with a misdemeanor specified in question 12 (a,b,c,d) in a domestic or foreign court?   ☐Yes   ☐No

   A. If "Yes," state the name of the court(s) that had jurisdiction and the date(s) of the conviction(s).

   B. Describe briefly the nature of the misdemeanor charged.

   C. Terms of sentence(s) imposed.

14. Have you ever been a defendant in any proceedings brought by a state or federal administrative agency? ☐Yes ☐No

    A. If "Yes," state the name of such agencies and the date of any such proceedings.

    B. Resolution or any such proceedings including any administrative sanctions imposed.

15. A.. Are you engaged in any business other than, or in addition to selling insurance? ☐Yes ☐No

    B. Has an insurance company ever cancelled or terminated a contract with you for reasons other than lack of production?
    ☐Yes   ☐No

    C. Have you ever had a complaint filed against you or been investigated by a state insurance department?
    ☐Yes   ☐No

    D. Have you ever had an insurance license denied, revoked, suspended or had any disciplinary action taken against you by a
    state insurance department?   ☐Yes   ☐No

    E. Have you ever been refused a surety or fidelity bond? ☐Yes ☐No

If the answer to any of the above questions is "Yes," please provide complete details on an additional sheet of paper.

16. Appointment sought for Union Security Life Insurance Company of New York? ☐Yes ☐No

    If "No," explain.

I represent that the answers given in this application are true and correct to the best of my knowledge and belief. I hereby give permission to Union Security Life Insurance Company of New York, its agents, affiliates and/or assigns to contact any organization or individual who has knowledge of any past or present employment and financial status. A photocopy of this authorization shall be valid as the original.

Applicant _____ _____ _____
                SIGNATURE   TITLE   DATE

FOR HOME OFFICE USE ONLY
Code no._____Pend added_____Pend removed_____Data entry added by_____